

Fourth Power of Stufe and Unit Stufe of Z/nZ

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ABSTRACT:

The Stufe and Pythagoras number of fields and rings are well known invariants in the study of sums of squares. We introduced a related notion of Unit Stufe for commutative rings with identity and compute the unit Stufe of Z/nZ , where Z/nZ denotes the ring of integer modulo the numbers.

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1 INTRODUCTION:

The study of sums of squares and more generally of sums of n th power has one of the longest history beginnings with Pythagoras Theorem. One can say Lagrange's Four Squares Theorem, E. Artin's proof of Hilbert's 17th Problem Pfister's Structure Theorems are some of the important landmarks in the subject. This has led to systematic study of two field quadratic invariants Stufe and Pythagoras number of fields. The Stufe of a field F , denoted by $s(F)$, is defined to be the smallest positive integer s such that -1 is a sum of s - squares of elements in F . When no such s exists, that is, if F is formally real, we take $s(F) = \infty$. Pfister's results on the Stufe of fields were a major breakthrough: if $s(F)$ is finite then it is a power of 2 and all 2-powers occur as Stufe of suitable fields.

For higher powers, this problem is related to the classical Waring problem: Given a positive integer k , every positive integer is a sum of r number of k th power of positive integers, for some r depending only on k . The smallest such r is traditionally denoted by $g(k)$ has been computed explicitly for all $k \neq 4$. The related problem is the Waring problem mod n : computation of $g(k, n)$ when $g(k, n)$

is the smallest integer r such that every integer is a sum of r number of k th power mod n . $g(k, n)$ was introduced and investigated by C. Small.

Definition: Let A be a commutative ring with identity $1 \neq 0$. For $k \geq 2$, $s(k, A)$ and $s_u(k, A)$ are defined as follows:

$$s(k, A) = \min\{s : -1 = a_1^k + \dots + a_s^k, \quad a_i \in A \text{ for } 1 \leq i \leq s\}$$

$$s_u(k, A) = \min\{t : -1 = a_1^k + \dots + a_t^k, \quad a_i \in U(A) \text{ for } 1 \leq i \leq t\}$$

where $U(A)$ denotes the multiplicative group of units of A .

If $k = 2$, then $s(k, A)$ and $s_u(k, A)$ are the Stufe and the Unit Stufe of the ring of A . Unit Stufe was defined and evaluated when $A = Z_n$, the ring of integers mod n . We have investigated $s(k, A)$ when $k = 4$ and $A = Z_n$. Note that when $k \geq 3$ and k odd, $s(k, Z_n) = s_u(k, Z_n) = 1$. We have done the computation of $s_u(4, Z_n)$. We write $s(k, Z_n)$ and $s_u(k, Z_n)$ simply as $s(k, n)$ and $s_u(k, n)$ respectively.

Now we can define as:

$$g(k, n) = \min\{s : \text{every element of } Z_n \text{ is a sum of } s \text{ number of } k \text{th powers of element in } Z_n\}$$

and so clearly $s(k, n) \leq g(k, n)$ and $s(k, n) \leq s_u(k, n)$.

We first give an account of certain bounds for $g(k, p)$, for odd primes p , obtained in [2].

Let p be an odd prime. Then $G = Z_p^* = U(Z_p)$ is a cyclic group of order $p - 1$. Let g be a generator for G . Put $u = p - 1$ and $l = (u, k)$.

Let $G^k = \{x^k \mid x \in G\}$ and $kG = \{x \mid x^k = 1\}$. Then, $G^k \cong G/kG$. Also $|kG| = |lG| = l$. Hence, we have $[G : G^k] = l$.

If $G_i = \{x \in G \mid x \text{ is a sum of } i \text{ } k \text{th powers in } Z_p\}$, then,

- i) $G^k = G_1 \subseteq G_2 \subseteq \dots; G = \cup G_i$.
- ii) $G_i = G_{i+1}$ implies $G_i = G_{i+j}$ for all $j \geq 1$.
- iii) $G_i \subsetneq G_{i+1}$ implies $|G_{i+1}| \geq |G_i| + |G^k| = |G_i| + \frac{u}{l}$.
- iv) there are at most $l - 1$ strict containments in (i) and so, we have, $G^k = G_1 \subseteq G_2 \subseteq \dots \subseteq G_i = G_{i+1} = \dots$ and further $G = G_i$ implies $g(k, p) \leq l$.

Proposition 1: $g(k, p) \leq l = [G : G^k]$. Consequently,

(1) $g(k, p) = g(l, p)$

(2) $g(k, p) = l$ when $l = 1, 2, \frac{u}{2}, u$.

For the prime p the other value of k are termed as “ k relevant for p ” and for such values of k , the following proposition gives bounds for $g(k, p)$.

For a fixed $r \geq 1$ and $0 \neq b \in Z_p$, let $N(r, b)$ denotes the number of solutions to the equation $x_1^k + \dots + x_r^k = b$ where k is relevant for p .

Proposition 2: $|N(r, p) - p^{r-1}| \leq (k - 1)^r p^{\frac{r-1}{2}}$.

Consequently $N(r, p) \geq p^{r-1} - (k - 1)^r p^{\frac{r-1}{2}}$. Hence $N(r, p) > 0$ provided $p^{r-1} > (k - 1)^r p^{\frac{r-1}{2}}$.i.e., if $p > (k - 1)^{\frac{2r}{r-1}}$. Since $g(k, p)$ is clearly the smallest r for which $N(r, b) > 0$ for all b , we have for relevant k .

Proposition 3: i) $g(k, p) \leq 2$ if $p > (k - 1)^4$.

ii) $g(k, p) \leq 3$ if $p > (k - 1)^3$.

iii) $g(k, p) \leq r$ if $p > (k - 1)^{\frac{2r}{r-1}}$.

iv) $g(k, p) \leq \lfloor \frac{k}{2} \rfloor$ if $p > (k - 1)^{2\lfloor \frac{k}{2} \rfloor / \lfloor \frac{k}{2} \rfloor + 1}$.

Due to a theorem of Vaspor, we have:

Proposition 4: If $l \neq \frac{u}{2}, u$, then $g(k, p) = g(l, p) = \lfloor \frac{l}{2} \rfloor + 1$.

We now come to the computation of $s(k, p)$ for odd primes p . We observe that $s(k, p) = s_u(k, p)$, since every non-zero elements in Z_p is a unit. Note that $s(k, 2) = 1$ for all $k \geq 1$. For odd primes p , we consider different cases:

Case (1): $p \equiv 1 \pmod{8}$.

In this case $G = Z_p^8 = \langle g \rangle$ is a cyclic group of order $u = p - 1 = 8m$, say. Then $g^{8m} = (g^{4m})^2 = 1$ and so $g^{4m} = -1$ and so -1 is a 4th power proving $s(4, p) = s_u(4, p) = 1$.

Case (2): $p \equiv 5 \pmod{8}$.

Now $l = (4, p - 1) = 4$. If $s(4, p) = 1$, then -1 must be a 4th power and so, $-1 = g^{\frac{p-1}{2}} = g^{4l}$, where g is the generator for $G = Z_p^*$. This implies, on squaring $p - 1$ divides $8l$ which is a contradiction, since $p \equiv 5 \pmod{8}$. Thus $s(4, p) \geq 2$. Now by Proposition 3, $g(4, p) \leq 2$ if $p > (k - 1)^4 = 81$. Consequently, $s(4, p) = 2$ for primes p such that $p > 81$. Hence, we need to compute the value of $s(4, p)$ for the primes 5, 13, 29, 37, 53, 61. It can be established (also shown in [2]) that the value of $s(4, p)$ is 4 for $p = 5$ and 3 for $p = 29$ and in all other cases it is 2.

Case (3): $p \equiv 3 \pmod{4}$.

Since -1 is not a square mod p , it is not a 4th power either and so $s(4, p) \geq 2$. Now $l = (k, p - 1) = (4, p - 1) = 2$. Now by proposition 1, $g(4, p) \leq l = 2$ which implies $s(4, p) \leq 2$. Hence $s(4, p) = 2$. Thus, we have established:

Theorem 1: For any prime p ,

$$s_u(4, p) = s(4, p) = \begin{cases} 1 & \text{if } p = 2 \text{ or if } p \equiv 1 \pmod{8} \\ 2 & \text{if } p \equiv 3 \pmod{4} \text{ or } p \equiv 5 \pmod{8}, p \neq 5, 29 \\ 3 & \text{if } p = 29, \quad 4 \text{ if } p = 5. \end{cases}$$

We now compute $s(4, n)$ when n is a power of a prime.

Theorem 2: Let p be an odd prime. Then $s(4, p^e) = s(4, p)$ for all $e \geq 1$.

Proof: To prove the theorem, it is sufficient to establish that: -1 is a sum of 4th powers in Z_{p^e} if and only if -1 is a sum of 4th powers in $Z_{p^{e+1}}$.

Then a natural map $\varphi: Z_{p^{e+1}} \rightarrow Z_{p^e}$ takes 4th powers to 4th powers and $\varphi(-1) = -1$. Hence $s(4, p^e) \leq s(4, p^{e+1})$.

On the other hand, suppose $(Z_{p^e}) = t$. Then, we have $-1 \equiv a_1^4 + \dots + a_t^4 \pmod{p^e}$ for some $a_i \in Z$. Clearly there exists some i such that $p \nmid a_i$ and so we can suppose $(p, 2a) = 1$. Then $(p, 4a^3) = 1$ and so there exist $x, y \in Z$ such that $xp + 4a^3y = 1$.

Now,

$$a_1^4 + \dots + a_t^4 = -1 + qp^e, \text{ for some } q \in Z$$

$$= -1 + qp^e(xp + 4a_1^3y) \text{ And so}$$

$$\begin{aligned} (a_1 - qp^e y)^4 + a_2^4 + \dots + a_t^4 &= -1 + ap^e xp + 4qp^e a_1^3 y + \{-4a_1^3 qp^e y + 6a_1^2 q^2 p^{2e} y^2 \\ &\quad - 4a_1^2 q^3 p^{3e} y^3 + q^3 p^{3e} y^3\} \equiv -1 \pmod{p^{e+1}}. \end{aligned}$$

Hence $s(4, p^{e+1}) \leq s(4, p^e)$ and the rebyproving the equality. Now the theorem follows.

We now consider the $s(4, n)$ when n is a power of 2. A easy computation gives:

$$s(4, 2^e) = s_u(4, 2^e) = \begin{cases} 1 & \text{if } e = 1 \\ 3 & \text{if } e = 2 \\ 7 & \text{if } e = 3 \text{ and } 15 \text{ if } e = 4. \end{cases}$$

Let $e \geq 5$. We note that $m \in Z$ is a 4th power mod 2^e , then, $m \equiv 0, 1 \pmod{16}$. The group U_{2^e} of units of Z_{2^e} is given by $U_{2^e} = \{\pm 5^i \pmod{2^e} \mid 0 \leq i < 2^{e-2}\}$.

These quares in U_{2^e} as the even powers of 5 $\pmod{2^e}$ and the fourth powers in U_{2^e} are the 4th powers of 5 $\pmod{2^e}$.

The number of 4th powers of 5 $\pmod{2^e}$ is equal to the number of integers m , such that $m \equiv 1 \pmod{16}$ with $0 \leq m \leq 2^e$. Each m , $0 \leq m \leq 2^e$ with $m \equiv 1 \pmod{16}$ is a 4th power of a unit in Z_{2^e} . Now $(2^e - 15) + 14(1) = 2^e - 1 \equiv -1 \pmod{2^e}$, and so $s(4, 2^e) = s_u(4, 2^e) = 15$, since $2^e - 15$ is a 4th power of a unit in Z_{2^e} . Thus we have proved:

Theorem 3:

$$s_u(4, 2^e) = s(4, 2^e) = \begin{cases} 1 & \text{if } e = 1 \\ 3 & \text{if } e = 2 \\ 7 & \text{if } e = 3 \text{ and } 15 \text{ if } e \geq 4 \end{cases}$$

We now use Chinese Remainder Theorem to compute $s(4, n)$ for all n . We prove:

Theorem 4: Let $n = p_1^{e_1} \cdots p_r^{e_r}$ denotes the canonical representation of n into product of distinct primes. Then, $s(4, n) = \max\{s(4, p_1^{e_1}), \dots, s(4, p_r^{e_r})\}$.

Proof: By Chinese Remainder Theorem, we have, $Z_n \cong \prod_{i=1}^r Z_{p_i^{e_i}}$.

If $-1 \equiv a_1^4 + \dots + a_t^4 \pmod{n}$ then $-1 \equiv a_1^4 + \dots + a_t^4 \pmod{p_i^{e_i}}$ and so clearly $s(4, p_i^{e_i}) \leq s(4, n)$.

Let $s = \max\{s(4, p_1^{e_1}), \dots, s(4, p_r^{e_r})\}$, let $s_i = s(4, p_i^{e_i})$. There exist $a_{i,j}$ for $1 \leq i \leq r$, $1 \leq j \leq s$ (by taking some $a_{i,j} = 0$, if necessary) such that $-1 \equiv \sum_{j=1}^s a_{i,j}^4 \pmod{p_i^{e_i}}$, $1 \leq i \leq r$. Using the Chinese Remainder Theorem, we can choose $x_j \in Z$, for $1 \leq j \leq s$ such that $x_j \equiv a_{i,j} \pmod{p_i^{e_i}}$, $1 \leq i \leq r$. Then $x_1^4 + \dots + x_s^4 \equiv \sum_{j=1}^s a_{i,j}^4 \equiv -1 \pmod{p_i^{e_i}}$ for all i , $1 \leq i \leq r$ and so

$x_1^4 + \dots + x_s^4 \equiv -1 \pmod{n}$. Thus $s(4, n) \leq s$. Hence $s(4, n) = s$ and this proves the Theorem.

Theorem 5: The value of $s(4, n)$ is one of the following: 1,2,3,4,7,15.

Further study: By continuing the above investigation we next undertake the computation of $s_u(4, n)$.

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